

**EXPRESSION OF INTEREST (EOI)  
FOR  
IMPLEMENTATION OF INNOVATION, TECHNOLOGY,  
COMMUNITY LEADERSHIP, RESEARCH, STUDIES, AND  
LEARNINGS IN THE FIELD OF DISASTER MITIGATION  
FOR THE  
STATE OF UTTAR PRADESH**



**OFFICE OF RELIEF COMMISSIONER,  
GOVERNMENT OF  
UTTAR PRADESH**

## EOI NOTICE

### For Selection of Institute/Agency/organization/ department for implementation of Innovation, Technology, Community Leadership, Research, Studies, and Learnings in the field of Disaster Mitigation for the state of Uttar Pradesh

"Online proposals are invited by the Office of the Relief Commissioner on behalf of the Government of Uttar Pradesh (GoUP) for the selection of an institute/ agency/ organization/ department for the implementation of innovation, technology, community leadership, research, studies, and learning related to disaster mitigation in the state of Uttar Pradesh. The deadline for submission is 4:00 P.M. on November 25, 2023. Details for the submission of Expressions of Interest (EOI) can be found on the website <https://rahat.up.nic.in/>."

(G.S. Naveen Kumar)  
Relief Commissioner,  
Government of Uttar Pradesh  
II<sup>nd</sup> Floor, Annexe Building,  
Lal Bahadur Shastri Bhawan,  
UP Secretariat, Lucknow

03.11.2023

## SECTION I: LETTER OF INVITATION

- The State Disaster Mitigation Fund, for which the guidelines have been issued by Government of India, has special provisions for small grants window. It is mentioned in the guideline "In a year upto 5% of the SDMF (Rs. 568.40 is the SDMF allocation for FY 2023-24), funds may be earmarked for small grants window to support small proposals related to innovation, technology, community leadership, research, studies and learnings".
- Through this Expression of Interest (EOI) it is intended to invite EOI for **"Selection of Institute/Agency/organization/ department for implementation of Innovation, Technology, Community Leadership, Research, Studies, and Learnings in the field of Disaster Mitigation for the state of Uttar Pradesh"**
- Bidders are advised to study the EOI document carefully.
- Submission of EOI against this document shall be deemed to have been done after careful study and examination of the procedures, terms, and conditions of the EOI document with full understanding and its implications.
- The Relief Commissioner office may, at its own discretion, extend the date for submission of EOI. In such case all the rights and obligations of the Relief Commissioner office and Bidders previously subject to the deadline will thereafter be subject to the deadline as extended.
- The EOI document is available on <https://rahat.up.nic.in/>. Interested Bidders may view, download the EOI document, seek clarification and submit their EOI on the email id- [stateeoc.up@gmail.com](mailto:stateeoc.up@gmail.com), up to the date and time mentioned in the table below: -

EOI Reference No.	
Purpose	Expression of Interest (EOI) For <b>"Selection of Institute/Agency/organization/ department for implementation of Innovation, Technology, Community Leadership, Research, Studies, and Learnings in the field of Disaster Mitigation for the state of Uttar Pradesh"</b>
Date of Publication of EOI notice	03.11.2023 on website- <a href="https://rahat.up.nic.in">https://rahat.up.nic.in</a>

<b>Last date for submission of Expression of Interest (EOI)</b>	25.11.2023 up to 16:00 Hours
<b>email for Submission of EOI</b>	<a href="mailto:stateeoc.up@gmail.com">stateeoc.up@gmail.com</a>
<b>Expression of Interest (EOI) Officer</b>	Relief Commissioner, Uttar Pradesh
<b>Date of Opening</b>	26.11.2023 at 10:00 Hours or afterwards
<b>Venue of Opening of Expression of Interest (EOI)</b>	Office of Relief Commissioner IInd Floor, Annexe Building, Lal Bahadur Shastri Bhawan, UP Secretariat, Lucknow
<b>Relief Commissioner email addresses</b>	<a href="mailto:rahat@nic.in">rahat@nic.in</a>
<b>Contact numbers</b>	0522-2238200

- The Relief Commissioner Office reserves the right to cancel any or all the expression of interest (EOI) or annul the expression of interest (EOI) process without assigning any reason thereof.
- The Bidders must upload all the required documents electronically in the PDF format on email id- stateeoc.up@gmail.com. It is suggested that the PDF Files should be made in gray scale using the minimum readable appropriate resolution. The required electronic documents for each document label of Technical (Fee details, Annexure etc) schedules/ packets can be clubbed together to make single different files for each label. The size of Single label file should not exceed 20-25 MB size.

### **CONDITIONS**

- This 'Expression of Interest' is not an offer and is issued with no commitment. Office of Relief Commissioner, Government of UP, Lucknow reserves the right to withdraw the 'Expression of Interest' and change or vary any part thereof at any stage, if department determines that such action is in the best interest of the State Government.
- The Institute/Agency/organization/ department submitting their proposal will be issued a formal request letter to participate in a meeting to be held at Lucknow office Timing and sequence of events resulting from this EoI shall ultimately be determined by the Department.
- Institute/Agency/organization/departments are also required to make

commitments for code of ethics and for adherence to Government's anti-corruption policy. No oral conversations or agreements with any Official, Agent or Employee of the Department shall affect or modify any terms of this EoI.

- The Institute/Agency/organization/ department who are found to canvass, influence, or attempt to influence in any manner, by offering bribes or any other illegal gratification, shall be disqualified from the process at any stage.
- All the offers received before that last date and time of submission, the proposals and accompanying documentation will become the property of the Department and will not be returned.
- By submitting their proposal, each The Institute/Agency/organization/ department shall be deemed to acknowledge that he/she has carefully read all sections of this EoI.
- The Institute/Agency/organization/ department interested in the project may visit the site before submitting their proposals.

## **SECTION II: TERMS OF REFERENCE (TOR) AND SCOPE OF WORK (SOW)**

The State Disaster Mitigation Fund, for which the guidelines have been issued by Government of India, has special provisions for small grants window. It is mentioned in the guideline "In a year upto 5% of the SDMF, funds may be earmarked for small grants window to support small proposals related to innovation, technology, community leadership, research, studies and learnings". The Government of Uttar Pradesh invites proposals for the "Selection of The Institute/Agency/organization/ department for **Selection of The Institute/Agency/organization/ department for implementation of Innovation, Technology, Community Leadership, Research, Studies, and Learnings in the field of Disaster Mitigation for the state of Uttar Pradesh**". With the aim to mitigate the impacts of disasters on Uttar Pradesh with a special focus on the climate system, hazards for which state is vulnerable to.

### **INTRODUCTION :-**

- The Office of Relief Commissioner is nodal for effective management, response & relief, rehabilitation, and reconstruction in case of both natural and anthropogenic disasters in the State.
- The Office of Relief Commissioner is also responsible for legislation and policy

making about disaster management.

- It also plays an important role in mainstreaming disaster risk reduction into the development plans of concerned departments.
- The Office of Relief Commissioner is responsible to build a safe and disaster-resilient Uttar Pradesh by developing a holistic, proactive, multi-disaster and technology-driven strategy for disaster management. This will be achieved through strengthening ongoing efforts in building a culture of prevention, mitigation, and preparedness in state through collective efforts of the government with special focus on most vulnerable communities.

### **OBJECTIVES:-**

Uttar Pradesh is prone to multiple hazards which include flood, drought, storms, fire, hailstorms, earthquake and lightning of high severity. Apart from this State Govt of Uttar Pradesh has declared 11 type of disasters as “State Declared Disasters”. More than 40 out of the 75 districts in the state are prone to floods. Areas in western Uttar Pradesh that were historically not flood prone have also started experiencing flooding in recent years. Districts of Terai belt are also prone to earthquake as they fall in seismic zone IV. The state is also prone to drought where Bundelkhand & Vindhya region of state is more prone to drought condition. The state also faces extreme heat and cold wave conditions in peak summer and winter conditions respectively. Lightening, thunderstorm and high-speed winds in parts of the state have also added further distress in the state. Drowning and snakebite are the most life taking disasters in Uttar Pradesh. These recurring calamities result in substantial annual losses and minimize developmental gains.

### **SCOPE OF WORK: -**

All the disasters, notified by the central Government of State Government, are covered under the scope of the project . The list of notifies disasters is given below:-

Government of India Notified Disaster-	Government of U.P. Notified Disaster
1. Flood	1. Unseasonal Rainfall/Heavy Rain
2. Drought	2. Lightening
3. Fire	3. Thunderstorm
4. Hailstorm	4. Heat Wave

5. Earthquake/Tsunami	5. Boat Capsize
6. Cloud Burst	6. Snake Bite
7. Cold wave/Frost	7. Sewer Cleaning and Gas leakage
8. Cyclone	8. Falling into Bore well
9. Landslide	9. Man Animal Conflict
10. Pest Attack	10. Death due to Drowning
11. Avalanche	11. Death due to attack of Neelgay or Vanrojh

The scope of work is to implement projects related to Innovation, Technology, Community Leadership, Research, Studies, and Learnings in the field of Disaster Mitigation for minimizing loss of life and property due to disasters and building disaster resilient state.

Office of Relief Commissioner on behalf of Government of U.P. is inviting expressions of interest (EOI) from Academic Institutions, research Institutions of eminence such as IIT, IIM, AKTU (& Associated Govt colleges) and organizations such as ICMR and other eminent institutes/ departments in the field of disaster mitigation. We are seeking proposals related to innovation, technology, community leadership, research, studies, and learnings to strengthen our collective efforts in disaster risk reduction and mitigation.

Government of U.P. is dedicated to creating a safer and more resilient world. We believe that by collaborating with like-minded partners, we can drive progress in disaster mitigation.

**We welcome EOIs that fall within the following key areas:**

1. Innovation: Share your innovative solutions, technologies, or ideas that can revolutionize disaster preparedness, response, and recovery.
2. Technology: Proposals related to data analytics, artificial intelligence, geospatial mapping, communication tools, or any technology-driven approach that can improve disaster management.
3. Community Leadership: Empower communities through capacity-building, education, and community-driven initiatives to enhance resilience.
4. Research and Studies: Contribute to the body of knowledge with comprehensive

research and studies that offer insights, risk assessments, and policy recommendations.

5. Learnings: trainings, workshops, and educational resources that foster a culture of preparedness.

**General Eligibility Criteria**

S.No	Requirement	Documents
1	Institute/Agency/organization/ department which is submitting the EoI, must be a government institute/ agency/ organization/ department, however the Institute/Agency/organization/ department which is submitting the EoI may engage only those Private Institutions/ company/ organisations/ technical institutions having previous experience of implementation of disaster management (for the notified disasters) related projects, for execution and support.	Notification regarding establishment of the Institute/Agency/organization/ Department which is submitting the EoI.
3	The Institute/Agency/organization/ department should not have been blacklisted by Central, or any State/ UT Government or PSUs or Semi Government Companies	Self-certification regarding this.
4	The The Institute/Agency/organization/ department should have capacity to implement projects related to Innovation, Technology, Community Leadership, Research, Studies, and Learnings in the field of Disaster Mitigation for minimizing loss of life and property due to disasters and building disaster resilient state	For this- Organizational capacity, expertise to carry out such projects, knowledge regarding this field etc shall be mentioned in detail
6	The Institute/Agency/organization/ department should have at least 20 employees on its payrolls	Self-certification regarding this.

Only those The Institute/Agency/organization/ department who meet the eligibility criteria specified above will be eligible to respond to this EOI. The The Institute/Agency/organization/ department's proposal shall contain the relevant



information & supporting documents to substantiate the eligibility of the bidder vis-à-vis the pre-qualification criteria.

### **SELECTION CRITERIA**

A committee constituted by the Government would evaluate all the proposals and shortlist them on the basis of their merit and department's requirement. Shortlisted proposals would be referred to the appraisal committee and if found appropriate, would be put before State Executive Committee meeting for final decision.

### **HOW TO SUBMIT YOUR EXPRESSION OF INTEREST**

Visit our website at [Rahat.up.nic.in](http://Rahat.up.nic.in) to access detailed guidelines, Proforma and submission instructions.

Prepare your EOI document, including an executive summary, methodology, budget, timeline, team qualifications, and an impact assessment. Email your EOI to [stateeoc.up@nic.in](mailto:stateeoc.up@nic.in) by 25.11.2023.

Feel free to reach out to us at 9336612864 (Smt Aditi Umrao, Project Director, Office of Relief Commissioner, GoUP) if you have any questions or need further information.

Disasters may be inevitable, but their impact can be minimized. Your contribution, whether through innovation, research, or community leadership, can be a catalyst for change. Let's work together to build resilient, disaster-ready communities and foster a safer future for all.

Office of Relief Commissioner looks forward to receiving your Expression of Interest and embarking on this journey of collaboration and progress in disaster mitigation. Together, we can make a significant difference.

Join Us in Creating a Safer Future

(G.S. Naveen Kumar)  
Relief Commissioner,  
Government of Uttar Pradesh

03.11.2023

## Template for Project proposal

	<b>Name of the Project</b>			
	Fund			
	For Official Use			
	Project Code		Project Assigned to	

<b>1</b>	<b>Applicant Details</b>			
1.1	Name of the Applicant Department/ Organisation			
1.2	Type of Organisation (Government / Academic/Research Institutions / Others)			
1.3	Address			
1.4	State			
1.5	District			
1.6	City			
1.7	Pin-Code			
1.8	Project - Point of Contact			
1.9	Name	Designation	Phone number	Email
1.9.1				
1.9.2				
1.9.3				
1.9.5				

<b>2</b>	<b>Organization Profile</b>		
2.1	Legal Status of Organization		
2.2	Nature of business in India		
2.3	Date of Incorporation		

2.4	Registrar of Companies - Reference No/	
2.5	Address of the Headquarters	
2.6	Address of the Registered Office in India	
2.7	Any other relevant information	

3	<b>Past Project Implementation Experience</b> (Information of 3 projects of similar nature or scale in the last 5 years ) (separate information for each of the project in the format given below)	
3.1	Project Name	
3.1.1	Name of Client	
3.1.2	Name of the person who can be referred to from Clients' side, with name, designation, postal address, contact phone, e-mail id,	
3.1.3	Scope of the Project	
3.1.4	Project Value in INR	
3.1.5	Project Starting date	

4	<b>Project Overview of the proposed project</b>	
4.1	Project Title	
4.2	State	
4.3	Hazard	
4.4	Hazard Profile of Area Proposed(200 words max)	Guiding Question What is the history of the hazard in the region? What has been the impact on the life, livelihood and economy of the region
4.5	Project Rationale ( 200 words max)	
4.6	Project Description (500 words max)	
4.7	Project Objective	
4.8	Project Goals	
4.9	Project Activities	
4.10	Project Partners	

4.11	Impact Indicators			
4.12	Proposed Human Resource			
4.12.1	Area of Expertise	Number of Resources ( Part-time)	Number of Resource (Full time )	
4.12.1.1				
4.12.1.2				
4.12.1.3				
4.13	Approximate project Duration ( In Months)			
4.14	Approximate Budget (INR in Lakhs) Detailed head-wise budget along with justification may be attached in Annexure			

5	Document Checklist (As applicable)	
5.1	Cover Letter / Endorsement	
5.2	Certificate of Registration / Incorporation	
5.3	Copy of PAN	
5.4	Copy of GST	
5.5	Company Profile with Contact details	
5.6	Audited financial statements for the last three financial years;	
5.7	Supporting Documents for Project Implementation Experience (Relevant documents (MoU, Contract Documents etc, Work order + Completion certificate OR Self Certificate of Completion(Certified by Statutory Auditor) OR Phase Completion Certificate (for ongoing projects from the Client)I issued by the Client/ Donor/	
5.8	Expression of Interest for Partnerships	